





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023! www.uspta.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/833,452

04/12/2001

Randall Allen Vogel

**AD6728 US NA** 

**CONFIRMATION NO. 3330** 

FORMALITIES LETTER

\*OC000000006143852\*

23906 E I DU PONT DE NEMOURS AND COMPANY **LEGAL DEPARTMENT - PATENTS** 1007 MARKET STREET WILMINGTON, DE 19898

Date Mailed: 06/04/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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| AUG 0 6 2001 No.  Underthe Paperwork Reduction Act of | 1995, no person | ns are requir                        | U.S. Patent and Tra  | PTO/SB/21(08-00) pproved for use through 10/31/2002. OMB 0651-0031 demark Office: U.S. DEPARTMENT OF COMMERCE information unless it displays a valid OMB control number. |  |  |
|---|-----------------|--------------------------------------|--|--|--|--|
| TRANSMITTAL EODM                                      |                 | Τ'                                   | ation Number   | 09/833,452   |  |  |
| FRANSMITTAL   | TRANSMITTAL     |                                      |  | April 12, 2001   |  |  |
| FORM  |                 | First N                              | lamed Inventor   | Randall Allen Vogel  |  |  |
| (to be used for all correspondence after in           | itial filing)   | Group                                | Art Unit   | 1773   |  |  |
| ,   | •               | Exami                                | ner Name   |  |  |  |
| Total Number of Pages in This Submission              | 2               | Attorn                               | ey Docket Number   | AD6728 US NA   |  |  |
|   | ENCL            | OSURES                               | (check all that apply)   |  |  |  |
| Fee Transmittal Form                                  | _               | ment Par<br>Application              |  | After Allowance Communication to Group   |  |  |
| Fee Attached  | g(s)            |                                      | Appeal Communication to Board of Appeals and Interferences   |  |  |  |
| Amendment / Response                                  | Licensi         | ing-relate                           | d Papers   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  |  |  |
| After Final Affidavits/declaration(s)                 | Petition        | n '                                  | •  | Proprietary Information  |  |  |
| Extension of Time Request                             | , —             | n to Conv<br>ional App               |  | Status Letter  |  |  |
| Express Abandonment Request                           |                 | ey, Revocation<br>espondence Address | Other Enclosure(s) (please identify below):  |  |  |  |
| Information Disclosure Statement                      | al Disclai      | mer                                  |  |  |  |  |
| Certified Copy of Priority Document(s)                | Reque           | st for Ref                           | und -  |  |  |  |
| Response to Missing Parts/ Incomplete Application     |                 | umber of                             | CD(s)  |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53   | Rema            | rks                                  | Attached is a Supplemental Application Data Sheet for the above-captioned application. The change is: Addition of twinventors, I Hwa Lee, 4407 Tennyson Road, Wilmington, Delaware 19802, citizen of Malaysia; Sumita Sanjeevi Ranganathan, 981 Mona Drive, Kingston, Ontario K7P 2J5 Canada, citizen of Canada. |  |  |  |

|                               |                     | Canada, citizen of Canada.  |
|-------------------------------|---------------------|-----------------------------|
|                               | SIGNATURE OF API    | PLICANT, ATTORNEY, OR AGENT |
| Firm<br>or<br>Individual name | Robert B. Stevenson |                             |
| Signature                     | Robert B. S.        | evenso                      |
| Date                          | 8-3-01              |                             |

|                       | CERTIFICATE OF   | MAILING |                          |          |
|-----------------------|--|---------|--------------------------|----------|
| •                     | orrespondence is being deposited with the Un<br>Commissioner for Patents, Washington, D.C. 2 |         | s first class mail in an | envelope |
| Typed or printed name | Jeanette Hancock   |         |                          |          |
| Signature             | Jeanet Hancock   | Date    | 8/3/01                   |          |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

RANDALL ALLEN VOGEL, ET AL.

CASE NO.: AD6728 US NA

APPLICATION NO.: 09/833,452

GROUP ART UNIT: 1773

FILED: APRIL 12, 2001

EXAMINER: UNKNOWN

FOR: MULTILAYER, CO-EXTRUDED,

IONOMERIC DECORATIVE SURFACING

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION-FILING DATE GRANTED

Assistant Commissioner for Patents Washington, DC 20231

Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application mailed June 6, 2001 (a copy of which is attached), enclosed is a Declaration and a Power of Attorney for each inventor for the above-referenced application. Please charge the \$130.00 surcharge fee applicable for filing the Declaration on a date later than the filing date to Deposit Account 04-1928 (E. I. du Pont de Nemours and Company). If the fee is insufficient or incorrect, please charge or credit the balance to the above-identified account.

Respectfully submitted,

ROBERT B. STEVENSON

ATTORNEY FOR APPLICANTS REGISTRATION NO. 26,039

TELEPHONE: 302-992-6824

FACSIMILE: 302-992-2953

Dated: 03 August 2001

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Complete if Known FEE TRANSMITTAL 09/833,452 **Application Number** for FY 2001 April 12, 2001 Filing Date Randall Allen Vogel First Named Inventor are subject to annual revision. **Examiner Name** 1773 Group / Art Unit **AD6728 US NA** 130 **TOTAL AMOUNT OF PAYMENT** Attorney Docket No.

|  |   | METH           | IOD OF P                                | AYMENT (check o   | ne)                     |             |               |            | FEE (         | CALCULATION (continued)  |             |
|--|---|----------------|---|---|-------------------------|-------------|---------------|------------|---------------|--|-------------|
| The Commissioner is hereby authorized to charge    |   |                |   | 3. AI   | 3. ADDITIONAL FEES      |             |               |            |               |  |             |
| 1. Indicated fees and credit any over payments to: |   |                | Large                                   |   | Small                   |             |               |            |               |  |             |
|  |   |                |   |   | <del></del>             | F           | Entity<br>Fee | Fee        | Entity<br>Fee |  | Fee         |
| De   | posit   |                |   |   |                         | Fee<br>Code | (\$)          | Code       | (\$)          | Fee Description  | Paid        |
|  | count   | 04             | -1928                                   |   |                         | 105         | 130           | 205        | 65            | Surcharge - late filing fee or oath  | 130         |
| Nu   | mber  | <u> </u>       |   |   |                         | 127         | 50            | 227        | 25            | Surcharge - late provisional filing fee or cover sheet.                    |             |
|  | posit   |                |   |   | Co                      | 139         | 130           | 139        | 130           | Non-English specification  |             |
|  | count   | E.             | I. du Poi                               | nt de Nemours   | and Company             |             |               |            |               | •  | <del></del> |
| Na   |   |                |   |   |                         | 147         | 2,520         | 147        | 2,520         | For filing a request for reexamination                                     |             |
|  | Under 37  | <b>CFR 1.1</b> | onal Fee R<br>6 and 1.17                | 7   |                         | 1112        | 920*          | 112        | 920*          | Requesting publication of SIR prior to<br>Examiner action                  |             |
|  | See 37 C  | FR 1.27        | mall entity                             | status.   |                         | 113         | 1,840*        | 113        | 1,840*        | Requesting publication of SIR after<br>Examiner action                     |             |
| 2.   | Payme   | nt Enclo       | sed:                                    |   |                         | 115         | 110           | 215        | 55            | Extension for reply within first month                                     |             |
| l _  | Ob =  | <b>~</b> ^     | Alba-                                   | C Manager   | □ Other                 | 116         | 390           | 216        | 195           | Extension for reply within second month                                    |             |
|  | Check   | ☐ Cr           | edit card                               |   | ☐ Other                 | 117         | 890           | 217        | 445           | Extension for reply within third month                                     |             |
|  |   |                |   |   |                         | 118         | 1,390         | 218        | 695           | Extension for reply within fourth month                                    |             |
|  |   |                | FEE CA                                  | ALCULATION  |                         | 128         | 1,890         | 228        | 945           | Extension for reply within fifth month                                     |             |
| 1.   | BASIC F   | ILING F        | EE                                      |   |                         | 119         | 310           | 219        | 155           | Notice of Appeal   |             |
| Large  | Entity  | Small          | Entity                                  |   |                         | 120         | 310           | 220        | 155           | Filing a brief in support of an appeal                                     |             |
| Fee  | Fee   | Fee            | Fee                                     | Fee Description   |                         |             |               |            | 135           | •  | <del></del> |
| Code<br>101  | ( <b>\$</b> )<br>710  | Code<br>201    | (\$)<br>355                             | Utility filing fee                                      | Fee Pald                | 121<br>138  | 270<br>1,510  | 221<br>138 | 1,510         | Request for oral hearing Petition to institute a public use                |             |
| 106  | 320   | 206            | 160                                     | Design filing fee                                       |                         |             |               |            |               | proceeding   |             |
| 107  | 490   | 207            | 245                                     | Plant filing fee  |                         | 140         | 110           | 240        | 55            | Petition to revive – unavoidable   |             |
|  | 710   | 208            | 355                                     | Reissue filing fee                                      |                         | 141         | 1,240         | 241        | 620           | Petition to revive – unintentional   |             |
| 108  |   |                |   | _   | —                       | 142         | 1,240         | 242        | 620           | Utility issue fee (or reissue)   |             |
| 114  | 150   | 214            | 75                                      | Provisional filling f                                   | ee                      | 143         | 440           | 243        | 220           | Design issue fee   |             |
|  |   | e:             | UBTOTAL                                 | (1)   | (\$) 0                  | 144         | 600           | 244        | 300           | Plant issue fee  |             |
|  |   |                | ייייייייייייייייייייייייייייייייייייייי | . ( ' )   |                         | 122         | 130           | 122        | 130           | Petitions to the Commissioner  |             |
| 2. EXT   | RA CLAII  | M FEES         |   | Extra Fe  | e from Fee              | 123         | 130           | 123        | 130           | Petitions related to provisional applications                              |             |
| Total Clai   | ms 🗀  |                | -20 =                                   | Claims bel  |                         | 126         | 180           | 126        | 180           | Submission of Information Disclosure<br>Stmt                               |             |
| Independe<br>Claims                                | nt  |                | -3 =                                    | 0 X 8   | 0 = 0                   | 581         | 40            | 581        | 40            | Recording each patent assignment per property (times number of properties) |             |
| Multiple   | <b>!</b>  |                |   | x [2  | 70 = 0                  | 146         | 710           | 246        | 355           | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| Dependen<br>Large                                  | l<br>Entity   | Small          | Entity                                  | L_  |                         | 149         | 710           | 249        | 355           | For each additional invention to be  |             |
| Fee Coo  | Eee   | Fee<br>Code    | Fee                                     | Fee Description   | n                       | 179 -       | 710           | 279        | 355           | examined (37 CFR § 1.129(b))  Request for Continued Examination (RCE)      |             |
| 103  | 18  | 203            | 9                                       | Claims in exces   | s of 20                 | 1           |               |            |               |  |             |
| 102  | 80  | 202            | 40                                      |   | aims in excess of 3     | 169         | 900           | 169        | 900           | Request for expedited examination of a design application                  |             |
| 104  | 270   | 204            | 135                                     | •   | lent claim, if not paid | - 1         |               |            |               | or a good, approximit  |             |
| 109  | 80  | 209            | 40                                      | ** Reissue inde   | pendent claims over     |             |               |            |               |  |             |
| 103  | δU  | 209            | 40                                      | original patent   |                         | Other       | fee (sne      | cify)      |               |  |             |
| 110  | 110 18 210 9 ** Reissue claims in excess of 20 and over original patent |                |   |   | Other fee (specify)     |             |               |            |               |  |             |
|  |   |                | *Red                                    | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130 |                         |             |               |            |               |  |             |
| SUBTOTAL (2) (\$) 0                                |   |                |   |   |                         |             |               |            |               |  |             |
|  |   |                |   | _   |                         |             |               |            |               |  |             |
| **or nur   | nber previo   | usly paid      | , if greater;                           | For Reissues, see a                                     | bove                    |             |               |            |               |  |             |

| SUBMITTED BY      |                     |                                  |               | C         | omplete (if applicable) |
|-------------------|---------------------|----------------------------------|---------------|-----------|-------------------------|
| Name (Print/Type) | Robert B. Stevenson | Registration No. Attorney/Agent) | 26,039        | Telephone | 302-992-6824            |
| Signature         | Robert              | B. Stevenso                      | <del></del> > | Date      | August 3, 2001          |

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Approved for use through 10/31/2002. OMB 0651-0031

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Assistant Commissioner for Patents Washington, D.C. 20231

| on | August 3, 2001 | <b>.</b>   |
|----|----------------|--|
| _  | Date           |  |
|    |                | Jeanth Hancock<br>Signature                        |
|    |                | Signature  |
|    |                | JEANETTE HANCOCK                                   |
|    | _              | Type or printed name of person signing Certificate |

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09/833,452
AD6728 US NA
Response to Notice to File Missing Parts of Application
Fee Transmittal
Declaration
3 Power of Attorney forms

submitted paper.

Note: